

This discussion paper is/has been under review for the journal Solid Earth (SE).
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Aggregate breakdown and surface seal development influenced by rain intensity, slope gradient and soil particle size

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Received: 6 October 2014 – Accepted: 15 October 2014 – Published: 16 December 2014

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Published by Copernicus Publications on behalf of the European Geosciences Union.

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Abstract

Aggregate breakdown is an important process which controls infiltration rate (IR) and the availability of fine materials necessary for structural sealing under rainfall. The purpose of this study was to investigate the effects of different slope gradients, rain intensities and particle size distributions on aggregate breakdown and IR to describe the formation of surface sealing. To address this issue, 60 experiments were carried out in a 35 cm × 30 cm × 10 cm detachment tray using a rainfall simulator. By sieving a sandy loam soil, two sub-samples with different maximum aggregate sizes of 2 mm ($D_{\max}2\text{mm}$) and 4.75 mm ($D_{\max}4.75\text{mm}$) were prepared. The soils were exposed to two different rain intensities (57 and 80 mmh⁻¹) on several slopes (0.5, 2.5, 5, 10, and 20%) each at three replications. The result showed that the most fraction percentages in soils $D_{\max}2\text{mm}$ and $D_{\max}4.75\text{mm}$ were in the finest size classes of 0.02 and 0.043 mm, respectively for all slope gradients and rain intensities. The soil containing finer aggregates exhibited higher transportability of pre-detached material than the soil containing larger aggregates. Also, IR increased with increasing slope gradient, rain intensity and aggregate size under unsteady state conditions because of less development of surface seal. But under steady state conditions, no significant relationship was found between slope and IR. The finding of this study revealed the importance of rain intensity, slope steepness and soil aggregate size on aggregate breakdown and seal formation, which can control infiltration rate and the consequent runoff and erosion rates.

1 Introduction

Soil erosion is one of the most serious environmental problems in the world (Leh et al., 2013; Lieskovský and Kenderessy, 2014). Soil erosion affects forest and agricultural land and is a key factor of the soil degradation (Cerdà et al., 2009; Mandal and Sharda, 2013), and explain the changes in the landforms, the soil and water resources and the

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recovery of the vegetation (García Orenes et al., 2009; García Fayos et al., 2010; Zhao et al., 2013). To improve the accuracy and precision of erosion models and develop more rationally based soil erosion control techniques, the development of process-based models is very important (Romkens et al., 2001; Haregeweyn et al., 2013). Raindrops that impact to soil surface can influence erosion rate and change the structure of soil in various ways (Kinnell, 2005), although the size of the drops is the key factor (Cerdà, 1997). In this regard, surface seal is formed by raindrops impact, which further leads to slaking and breakdown of soil aggregates (Assouline, 2004). The development of surface seal depends on the extent of the breakdown of surface aggregates. This is directly related to the kinetic energy of raindrops, the rain intensity, and the duration of the rainstorm as well as the stability of aggregates to resist such breakdown. Reduction of infiltration rate (IR), intensification of runoff and interference with seed germination are some of the consequences of surface sealing (Mermut et al., 1997).

Some studies have shown that seal formation is a key factor in soil erosion processes, because it can reduce the surface roughness as well as IR and also the soil loss by splash (Assouline and Mualem, 2000; Robinson and Phillips, 2001; Assouline, 2004; Assouline and Ben-Hur, 2006). In general, aggregate breakdown occurs when its strength is reduced by wetting to a level where the stress imposed by raindrops is sufficient to disrupt the aggregate (Assouline, 2004). The main mechanisms of aggregate breakdown during water erosion processes are slaking by fast wetting and mechanical breakdown due to raindrop impact (Le Bissonnais, 1996; Legout et al., 2005; Shi et al., 2010). Therefore, a certain threshold kinetic energy is needed to start detachment (Lujan, 2003). Consequently, when aggregates are broken down by raindrops impact and/or slaking, the disaggregated particles are deposited within the upper soil pore spaces, forming a thin, dense and low permeable layer namely surface seal (Assouline, 2004).

Some studies have shown that when rainfall detachment is the dominant erosion process, the size distribution of the eroded soil differs from the original soil from

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which it was derived (Proffitt et al., 1993; Slattery and Burt, 1997), and the vegetation cover is the key factor to reduce the soil erodibility (Cerdà et al., 1998). Also, aggregate breakdown due to raindrop impact is likely to be a major factor affecting sediment size distribution in soil erosion experiments (Hairsine et al., 1999). Aggregate breakdown produces smaller particles than the original soil, which may then be displaced and reoriented into a more continuous structure. They clog conducting pores, and consequently, a surface seal is developed (Ramos et al., 2003). The particle size distribution of the eroded soil can be influenced by the particle size distribution of the original soil, the aggregate breakdown during erosion event and the settling velocity of different size classes of particles (Rose et al., 2007; Mahmoodabadi et al., 2014a). The particle size distribution of eroded soil also seems to be dependent on the erosive agent of rainfall and or runoff, flow hydraulic characteristics and slope gradient (Ruff et al., 2003).

Soil infiltration during a rainstorm is closely related to the intensity and kinetic energy of the rainfall, surface conditions and soil properties such as those related to aggregate stability (Hawke et al., 2006). These can affect IR through the surface seal formation, which results from physico-chemical compaction and dispersion due to raindrop impacts (Assouline, 2004). In addition, slope gradient is considered to play a key role in controlling IR and erosion rate (Essig et al., 2009; Mahmoodabadi and Cerdà, 2013). Ekwue et al. (2009) and also Sirjani and Mahmoodabadi (2014) reported that soil erosion increased with increasing slope gradient as a result of reduced IR and greater runoff rate. Janeau et al. (2003) observed a reduction in IR when slope gradient increased. Poesen (1987) noted contradictory results dealing with the relationship between slope gradient and IR, in order that on susceptible soils to surface sealing, a decrease in IR with increasing slope gradient was found.

Soil infiltration is also highly dependent on rainfall intensity and the relationship between these two parameters has been studied (Foley and Silburn, 2002; Hawke et al., 2006). Foley and Silburn (2002) found that higher IR is often occurred with greater rainfall intensities. Romkens et al. (1985) reported that raindrops can destroy

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low organic carbon content ($< 1\%$), whereas, the content of CaCO_3 equivalent was higher than 10% , which is dominant in arid and semiarid region soils. The fraction percentage of aggregates for the soils is also shown in Fig. 1. For both soils $D_{\max} 2\text{ mm}$ and $D_{\max} 4.75\text{ mm}$, the most frequent size classes were found to be in the range of $0.063\text{--}0.5\text{ mm}$, respectively with 75.9 and 79.9% , while larger and finer size classes were lower.

2.2 Treatments and experimental setup

Totally, 60 experiments were carried out using the prepared soil samples under different rain intensities of 57 and 80 mm h^{-1} and several slopes (0.5 , 2.5 , 5 , 10 , and 20%). An experiment simulation was applied with a rainfall simulator to generate different rain intensities. The nozzle used in the rainfall simulator was a pressurized one which was placed 1.5 m above the soil surface (Fig. 2). In order to measure rain intensity, 16 containers (6.8 cm diameter) were used, which were placed at regular distances under the simulated rains. To assess the uniformity of rain intensity, the coefficient of Christiansen was calculated (Mahmoodabadi et al., 2007).

$$\text{C.C} = \left[1 - \frac{\sum [X_i - m]}{mn} \right] \cdot 100 \quad (1)$$

where X_i is the measured intensity in each container, m is the average rain intensity and n is the number of containers. Also, the measurement of average drops size was done using the stain method (Hall, 1970). The average (\pm SD) drop size for the rain intensities of 57 and 80 mm h^{-1} was $2.2 \pm 0.08\text{ mm}$ and $2.5 \pm 0.09\text{ mm}$ with the coefficient of uniformity of 86 and 80% , respectively

A detachment tray was used in the experiments, which was a $35\text{ cm} \times 30\text{ cm}$ drainable tray with 10 cm depth (Fig. 2). The washed sediment was collected from the central test area of the tray. On two sides of the test area, a buffer section was provided so that, the soil was not only lost by splash, but it could also be returned from the buffer area. Different parts of the applied detachment tray are shown in Fig. 2.

2.3 Rainfall simulation experiments

Before every experiment, each soil sample was saturated for 24 h. Afterward, the drainage water was removed out of the tray. Simulated rainfall lasted until a constant runoff rate was reached (40–45 min). For each rainfall event, the sediment-laden overland flow was sampled at time intervals (2, 5, 15, 20, 30 and 40 min) and volumetrically measured. Collected samples were deposited, separated from the water, dried in oven at 105 °C for 24 h. In addition, Stream power as one of the hydraulic parameters defined as Mahmoodabadi et al. (2014b):

$$\Omega = \rho g q S \quad (2)$$

where Ω is stream power (W m^{-2}), ρ is water mass density (kg m^{-3}), g is the gravitational acceleration (m s^{-2}), q ($\text{m}^{-2} \text{s}$) is volumetric flux per unit width and S is the gradient of bed slope (m m^{-1}).

During each experiment, infiltrated water was collected from the bottom of detachment tray at different time intervals. Since, the soil was being saturated during each run, aggregates breakdown and the resultant size redistribution compared to the original soil was attributed to the seal formation. Therefore, at the end of each experiment, the upper 5 mm of soil surface was sampled for the determination of aggregates size distribution. Aggregate size distribution of the eroded soil was measured by wet sieving (Kemper and Rosenau, 1986). For this purpose, soil aggregates were submerged and gently sieved into clear water, while each sample was sieved for 2 min. For soil $D_{\text{max}} 2 \text{ mm}$, six sieves with sizes of 1, 0.5, 0.25, 0.125, 0.063 and 0.037 mm and for soil $D_{\text{max}} 4.75 \text{ mm}$, one additional sieve with a size of 2 mm were used. Then, remained aggregates on each sieve were dried in oven at 105 °C for 24 h.

For quantification of aggregate breakdown of the eroded soils, fraction percentage was determined for each size class compared to non-eroded (original) soil. The obtained data from the wet sieving of the original soil was subdivided into 10 size classes using interpolation method, each having an equal mass fraction (10%). Also,

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range of 0.055–0.092 mm was higher, whereas in the size classes ranged from 0.121 to 0.411 mm, it was less than the original soil (Fig. 3c). However, for rain intensity of 57 mm h^{-1} , the fraction percentage of the coarsest size class (1.5 mm) increased compared to the original soil. At 10 and 20 % slope gradients, the fraction percentages increased in size classes ranged from 0.055 to 0.092 mm, while those size classes coarser than 0.121 mm decreased compared to the original soil (Fig. 3d and e).

In comparison case, for the rain intensity of 80 mm h^{-1} and in all slope gradients (Fig. 3), the fraction percentage in the range of 0.055–0.092 mm was higher than the original soil (except 5 % slope gradient). In contrast, in the size classes coarser than 0.121 mm, the fraction percentage decreased compared to the original soil for all slope gradients (except 5 % slope gradient). At 5 % slope gradient, the fraction percentage in the range of 0.055–0.073 mm was higher and in size classes coarser than 0.092 mm, it was less than the original soil.

The obtained results for soil $D_{\text{max}} 2 \text{ mm}$ exhibited some differences in the two applied rain intensities. The first difference can be referred to the fraction percentage in the size class of 0.02 mm, which was higher in rain intensity of 57 mm h^{-1} than that obtained in rain intensity of 80 mm h^{-1} . This means that in rain intensity of 57 mm h^{-1} , however, the aggregates were broken down by raindrops impacts during the rainfall event and produced finer particles, the resultant surface flow did not have enough transportability to carry detached particles way out of the test area. Therefore, the fraction percentage of the finest size class (0.02 mm) was enhanced in the eroded soil under the lower rain intensity (57 mm h^{-1}). In contrast, the higher rain intensity of 80 mm h^{-1} caused to more detachability of soil aggregates and higher flow rates, which intensified transportability of finer pre-detached materials as well. Asadi et al. (2011) reported that with increasing flow stream power, sediment size distribution became coarser, finally becoming similar to or even coarser than the original soil, therefore, finer sediment remained on the soil surface.

The second difference can be related to the coarsest size class (1.5 mm), which showed higher fraction percentage in rain intensity of 57 mm h^{-1} than that observed in

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Ben-Hur (2006) who reported that infiltration rate and soil loss increased at higher rain intensities. This was attributed to thinner and less developed seal layer resulting from higher erosion of the soil surface and lower component of drop impact. Thus, the probable reason for the difference between the applied rain intensities in the present study may be partly as a consequence of greater stream power due to the higher rain intensity of 80 mm h^{-1} in removing fine soil particles and underdevelopment of surface seal.

For soil $D_{\text{max}} 4.75 \text{ mm}$ as slope gradient increased from 0.5 to 20 %, the unsteady IR values due to rain intensities of 57 and 80 mm h^{-1} ranged from 25.7 to 30.6 mm h^{-1} and from 32.6 to 45.1 mm h^{-1} , respectively. Therefore, for soil $D_{\text{max}} 4.75 \text{ mm}$ similar to soil $D_{\text{max}} 2 \text{ mm}$, the unsteady IR was higher under rain intensity of 80 mm h^{-1} than that under 57 mm h^{-1} . In both rain intensities, the unsteady IR values were higher at steeper slopes for both soils. This means that at steeper slopes and under unsteady state conditions due to faster depletion of pre-detached soil particles, seal layer was less-developed, which enhanced the infiltration of water into the soil.

4 Conclusion

Considering the obtained fraction percentage in size classes for both eroded soils, the percentage of the finest particles was found to increase compared to the original soil, whereas, the reverse result was found for larger aggregates. Also, an increase in rain intensity led to an intensification of aggregate breakdown, however, the effect of rain intensity on the contribution of fraction percentage in size classes depends on the aggregate size. In addition, the soil containing finer aggregates exhibited relatively easy transportability of the pre-detached material than the soil containing larger aggregates. Since, the studied soils remained saturated during the rainfall event, the change of infiltration rate with time was only attributed to seal formation. The surface seal was found to be less-developed during the first minutes, while with the progress of time, it was established to form a more developed seal layer. Furthermore, the result

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showed that the measured infiltration rate increased with increasing rain intensity, aggregate size and at the steepest slope under unsteady state conditions because of less development of surface seal. But under steady state conditions, no significant relationship was found between slope and the measured infiltration rate, which were attributed to the development of surface seal. Under steady state, lower rates of infiltration were observed compared to the unsteady state conditions. In addition, the soil containing larger aggregates exhibited higher rates of infiltration as this soil was less sensitive against raindrop impact and seal formation. The finding of this study highlights the importance of rain intensity, slope steepness and soil aggregate size on aggregate breakdown and seal formation which can control infiltration rate and the consequent runoff and erosion rates.

Acknowledgements. The work was supported by Soil Science Department, Faculty of Agriculture, Shahid Bahonar University of Kerman which is greatly acknowledged.

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Table 1. Some physical and chemical properties of the soils used in the experiments.

Soil properties	Soil containing particles finer than 2 mm ($D_{\max}2$ mm)	Soil containing particles finer than 4.75 mm ($D_{\max}4.75$ mm)
Sand (%)	58.8	56.6
Silt (%)	23.4	31.3
Clay (%)	17.8	12.1
Dry MWD (mm)	0.46	0.78
Wet MWD (mm)	0.26	0.3
OC (%)	0.9	0.75
pH	7.13	7.47
EC (dS m^{-1})	3.11	3.31
CaCO ₃ (%)	17.4	21

MWD: mean weight diameter, EC: electrical conductivity, OC: organic carbon.

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Table 2. Analysis of variance for the applied treatments on measured infiltration rate under unsteady and steady state conditions.

Source of Variation	D.F.	Mean of Square for unsteady state conditions	Mean of Square for steady state conditions
Slope (<i>A</i>)	4	116.2**	4.2 ^{ns}
Rain intensity (<i>B</i>)	1	3207.8**	57.4**
Particle size distribution (<i>C</i>)	1	69.4**	199.3**
<i>A</i> × <i>B</i>	4	63.8**	3.9 ^{ns}
<i>A</i> × <i>C</i>	4	209.8 ^{ns}	3.9 ^{ns}
<i>B</i> × <i>C</i>	1	3431.1**	3.8 ^{ns}
<i>A</i> × <i>B</i> × <i>C</i>	4	205.6 ^{ns}	0.2 ^{ns}
Error	40	4.1	3
Coefficient Variation	–	6.3	19.3

** : significant at 0.01 probability level, * : significant at 0.05 probability, ns: non significant.

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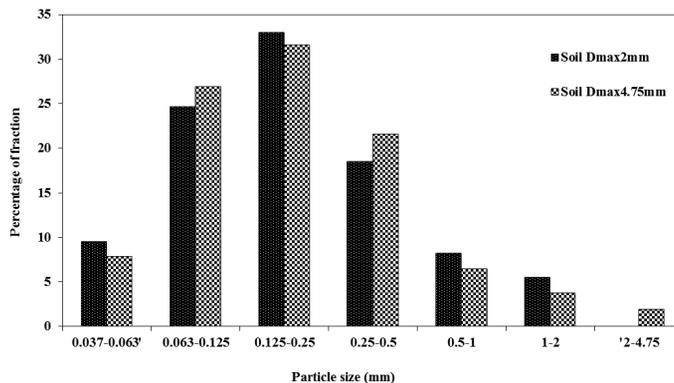


Figure 1. The fraction percentage obtained by the wet sieving procedure.

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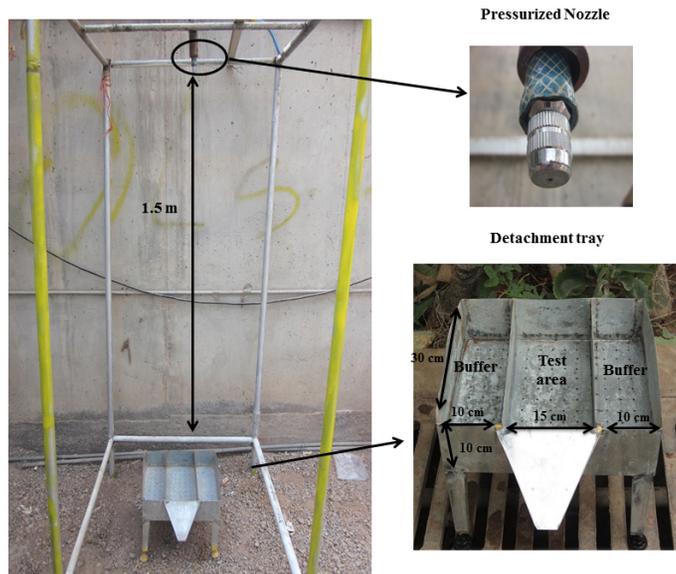
**Aggregate
breakdown and
surface seal
development**S. Arjmand Sajjadi and
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Figure 2. The rainfall simulator and detachment tray used in the experiments.

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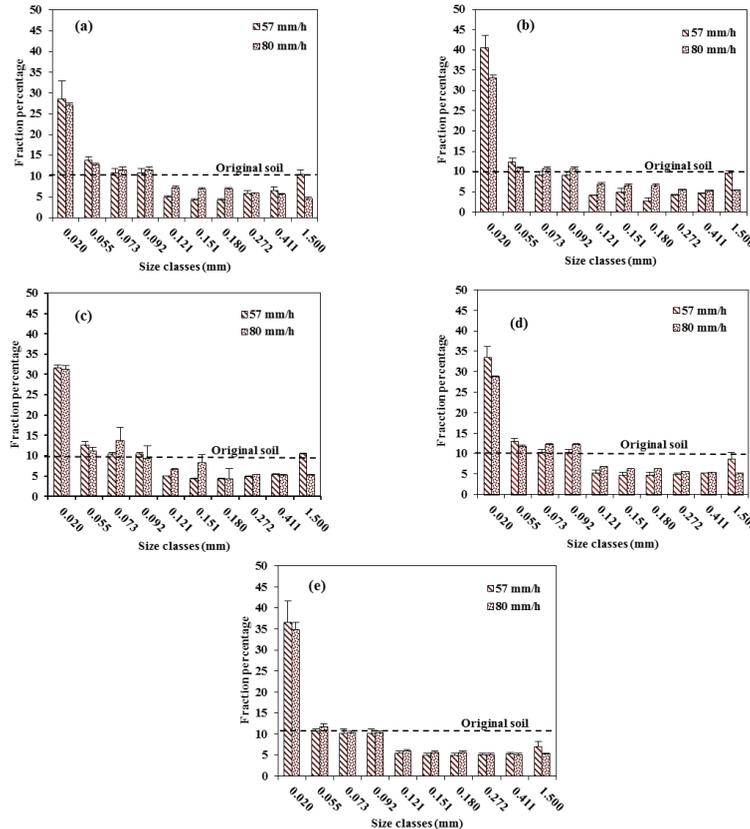


Figure 3. Comparison of particle size distribution in eroded soil $D_{max} 2mm$ compared to the original soil for different slopes of (a) 0.5%, (b) 2.5%, (c) 5%, (d) 10%, and (e) 20%. Error bars represent standard errors of the means.

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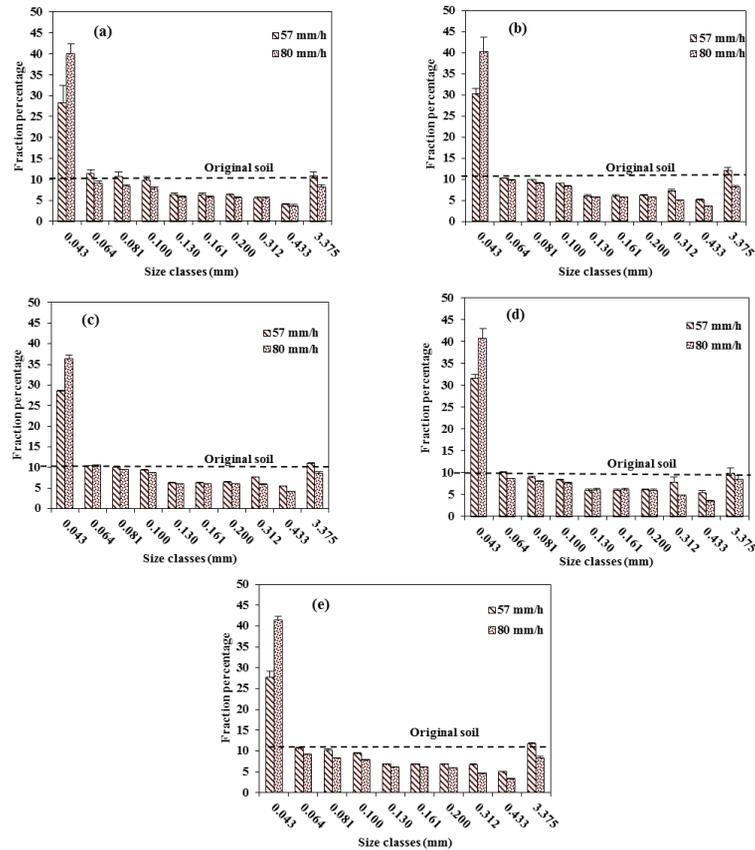


Figure 4. Comparison of particle size distribution in eroded soil D_{\max} 4.75 mm compared to the original soil and for different slopes of (a) 0.5%, (b) 2.5%, (c) 5%, (d) 10% and (e) 20%. Error bars represent standard errors of the means.

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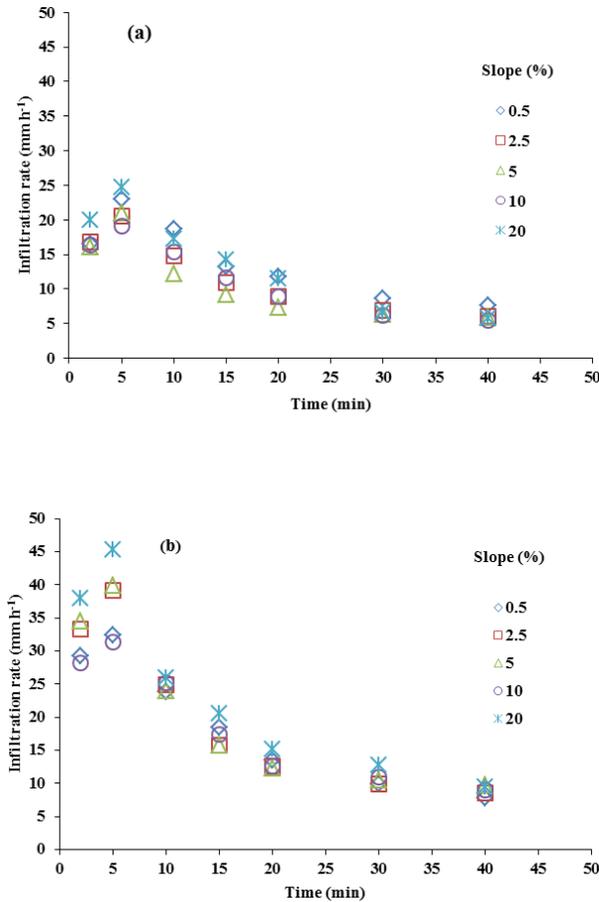


Figure 5. Time changes of infiltration rate in soil D_{\max} 2 mm for different slope gradients and rain intensities of **(a)** 57 mm h^{-1} **(b)** 80 mm h^{-1} .

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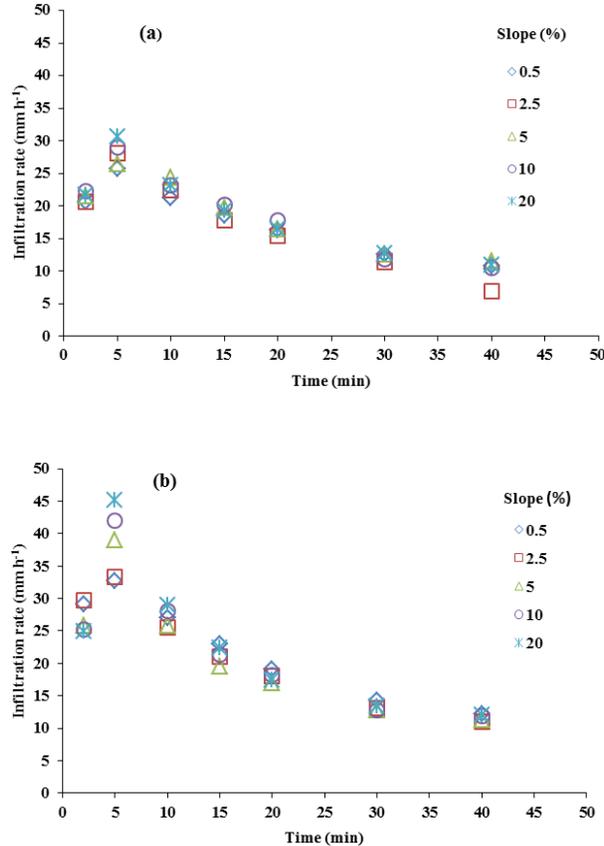


Figure 6. Time changes of infiltration rate in soil $D_{\max} 4.75$ mm for different slope gradients and rain intensities of **(a)** 57 mm h^{-1} , **(b)** 80 mm h^{-1} .

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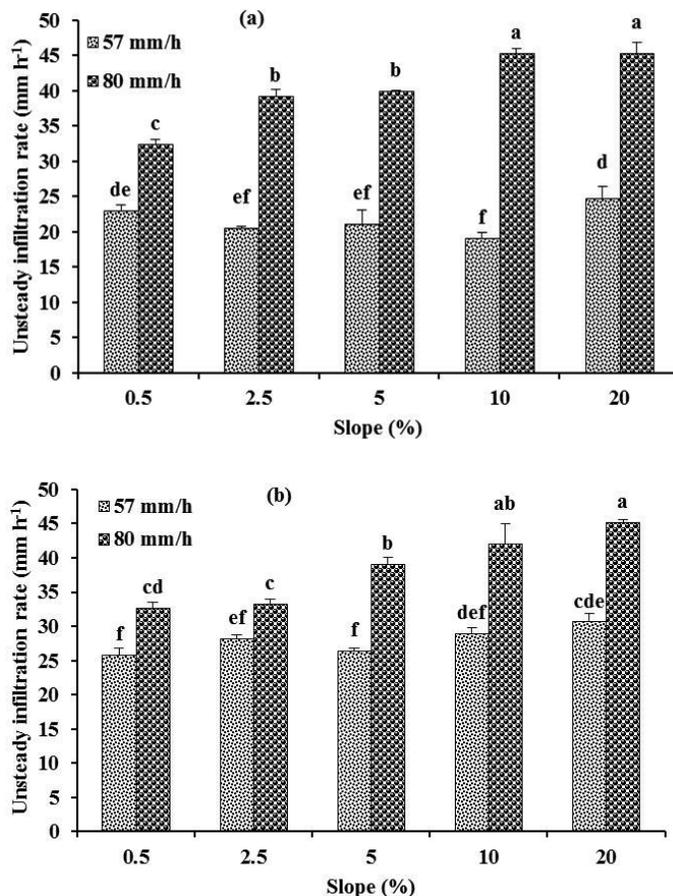


Figure 7. Comparison of the unsteady infiltration rate for soil samples with the maximum particles size of (a) 2 mm and (b) 4.75 mm (error bars represent standard errors of the means and mean comparison using Duncan's test; $\alpha = 0.05$ that the same letters signify non significance).